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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Derry Roopenian

Examiner: Qian J. Li

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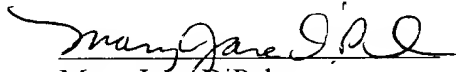
Filing Date: November 6, 2001

For: FcRn-Based Therapeutics for the  
Treatment of Auto-Immune  
Disorders

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231 on September 15, 2003.

  
Mary Jane DiPalma

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**DECLARATION BY DR. DERRY ROOPENIAN UNDER 35 §U.S.C. 1.132**

Sir:

I, DERRY ROOPENIAN, hereby declares and states as follows:

1. I am the applicant and the inventor of the above-identified patent application, and the subject matter described and claimed therein.


2. I am a Senior Staff Scientist at The Jackson Laboratory. A copy of my CV is enclosed with this Declaration.

3. I have read the above-identified patent application and the Office Action issued by the U.S. Patent and Trademark Office on May 7, 2003, in the above-identified patent application.

4. I understand that the Examiner has rejected all of the elected claims. In rejecting the claims, the Examiner stated that the muFcRn -/-, and the muFcRn -/-, +huFcRn transgenic mice "cannot be routinely and reproducibly made in light of the state of the art of transgenic technology". I believe that the Examiner's statement is in error. At the time of the filing of the instant application, both the mouse FcRn gene and the human FcRn gene were known. The knockout and transgenic technologies were well established and routinely practiced to produce knockout or transgenic mice. Therefore, at the time of the filing of the above-identified patent application, with the guidance provided in the instant patent application, the transgenic mice used in the claimed methods could be routinely and reproducibly made by one of ordinary skill in the art.

Declarant further states that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 9/11/03

Signed: 

# Curriculum Vitae

## Derry Charles Roopenian

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### Born:

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### Education:

1972 B.A. Zoology, University of Vermont, Burlington, VT  
1984 Ph.D. Pathobiology, University of Minnesota, Minneapolis, MN

### Professional Experience:

1980-1983 Research Assistant, University of Minnesota, Minneapolis, MN, Department of Laboratory Medicine and Pathology  
Advisor: Fritz H. Bach  
1984 Research Fellow, Harvard Medical School, Boston, MA, Department of Pathology,  
Advisor: Matthew F. Mescher, Ph.D.  
1985 Research Fellow, Dana-Farber Cancer Institute, Boston, MA, Department of Pediatric Oncology, Advisor: Steven J. Burakoff, M.D.  
1985-1990 Associate Staff Scientist, The Jackson Laboratory, Bar Harbor, ME  
1990-1999 Staff Scientist, The Jackson Laboratory, Bar Harbor, ME  
2000-Present Senior Staff Scientist, The Jackson Laboratory, Bar Harbor, ME

### Awards:

1984-1985 American Cancer Society Postdoctoral Fellowship  
1994-1995 Merck Scholar in Immunology  
1997 Co-organizer, The First International Symposium on Minor Histocompatibility Antigens  
2001-2005 Alliance for Lupus Research Investigator  
2002 Co-organizer, The 2nd International Symposium on Minor Histocompatibility Antigens

### Memberships:

American Association of Immunologists  
The Transplantation Society

### Editorial Activities:

1989-Present Transplantation, Editorial Board  
Ad Hoc, various journals

### Review Panels:

1991-1995 Immunology, Virology and Pathology Study Section, NIH  
1995-1999 NIH Reviewers Reserve  
1995-present Ad Hoc at various NIH Study Sections  
2003 Medical Research Council, United Kingdom

### Invited Speaker and Conferences (2002-Present):

University of Connecticut, Department of Pathology, January 2002.  
Alliance for Lupus Research, February 2002.  
Department of Molecular and Cellular Biology, University of California, Berkeley, March 2002.  
Co-organizer, 2<sup>nd</sup> International Symposium on Minor Histocompatibility Antigens, 13<sup>th</sup> IHWC, Seattle, May 2002.  
The Immunogenomics of Minor Histocompatibility Antigens, 2<sup>nd</sup> International Symposium on Minor Histocompatibility Antigens, 13<sup>th</sup> IHWC, Seattle, May 2002.

Roopenian CV  
Faculty. The Short Course in Medical and Experimental Medical Genetics, The Jackson Laboratory, Bar Harbor, Maine, July 1993-2003.

Harvard Institutes of Medicine, Harvard Medical School, July 2002.

Alliance for Lupus Research, New York, NY, March 2003

Scheicher and Schuell, Keene NH. February 2003.

New England BioLabs, Beverly MA March 2003

Vanderbilt University School of Medicine, April 2003

### Original Publications:

- Roopenian DC, Click RE. 1980. A new cytotoxic lymphocyte-defined antigen coded for by a gene closely linked to the H-3 locus. *Immunogenetics* 10:333-341.
- Click RE, Schneider D, Roopenian DC. 1981. A new minor histocompatibility locus linked to H-3. *J Immunol* 126:2378-2381.
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- Widmer MB, Roopenian DC, Bach FH. 1983. Cytolytic T lymphocyte clones with helper-cell characteristics. *Transpl Proc* 15:393-395.
- Orosz CG, Roopenian DC. 1984. Influence of PMA on T-lymphocyte responses to mitogenic lymphokines. *Lymphokine Res* 3:23-30.
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- Roopenian DC, Orosz CG, Bach FH. 1984. Responses against single minor histocompatibility antigens. II. Analysis of cloned helper T cells. *J Immunol* 132:1080-1084.
- Biel LW, Roopenian DC, Widmer MB, Bach FH. 1985. Induction of immune skin lesions by T-lymphocyte clones of particular subclasses. *Transpl Proc* 17:610-611.
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Graser RT, DiLorenzo TP, Wang F, Christianson GJ, Chapman HD, Roopenian DC, Nathenson SG, Serreze DV. 2000. Identification of a CD8 T cell that can independently mediate autoimmune diabetes development in the complete absence of CD4 T cell helper functions. *J Immunol* 164:3913-3918.
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